

# Division of Investment Management (IM)\* Organization Chart

The Division of Investment Management works to:

- protect investors;
- promote informed investment decisions; and
- facilitate appropriate innovation in investment products and services through regulating the asset management industry.

**Director's Office**  
**Director: David Grim**  
 Senior Policy Advisor: Jennifer McHugh  
 Senior Special Counsel: Marian Fowler  
 Senior Counsel: Naseem Nixon  
 Confidential Assistant: Sandi Hult (Acting)  
 Confidential Assistant: Brad Johnson (Acting)  
 (202) 551-6720

**Chief Counsel's Office**  
**Associate Director and Chief Counsel: Douglas Scheidt**  
 (202) 551-6777  
**Associate Director/Deputy Chief Counsel: Sara Crovitz**  
 (202) 551-6825

**Disclosure Review and Accounting Office**  
**Associate Director: Barry Miller**  
 (202) 551-6796

**Rulemaking Office**  
**Associate Director: Diane Blizzard**  
 (202) 551-6864  
**Deputy Associate Director: Sarah ten Siethoff**  
 (202) 551-6792

**Managing Executive's Office**  
**Associate Director and Managing Executive:**  
 Vacant

**Risk and Examinations Office**  
**Associate Director: Timothy Husson**  
 (202) 551-6706

**Chief Counsel's Office #1 (Legal Guidance)**  
 Assistant Chief Counsel: Nadya Roytblat  
 (202) 551-6823

**Chief Counsel's Office #2 (Legal Guidance)**  
 Assistant Chief Counsel: Vacant  
 (202) 551-6825

**Chief Counsel's Office #3 (Legal Guidance)**  
 Assistant Chief Counsel: Daniele Marchesani  
 (202) 551-6874

**Chief Counsel's Office (Enforcement Liaison)**  
 Assistant Director: Janet Grossnickle  
 (202) 551-6829

**Disclosure Review Office #1**  
 Assistant Director: Michael Spratt  
 (202) 551-6743

**Disclosure Review Office #2**  
 Assistant Director: Christian Sandoe  
 (202) 551-6949

**Disclosure Review Office #3 (Insured Investments)**  
 Assistant Director: William Kotapish

**Chief Accountant's Office**  
 Chief Accountant: Matthew Giordano  
 (202) 551-6892

**Investment Adviser Regulation Office**  
 Assistant Director: Sara Cortes  
 (202) 551-6999

**Investment Company Regulation Office**  
 Assistant Director: Brian Johnson  
 (202) 551-6792

**Administrative Office**  
 Business Manager: Denise Green  
 (202) 551-6292  
 Administrative Officer: Sandi Hult  
 (202) 551-6831

**Technology and Records Management Office**  
 Senior Technology Officer: Jason Ivarone  
 202-551-4634

**Risk and Examinations Office No. 1**  
 Assistant Director: Jon Hertzke  
 (202) 551-6247