

Information Memo



New York
Stock Exchange, Inc.

Member Firm Regulation

20 Broad Street
New York, NY 10005

Number 01-35
October 19, 2001

ATTENTION: CHIEF OPERATIONS OFFICER, CHIEF COMPLIANCE OFFICER,
AND BRANCH OFFICE MANAGERS

TO: ALL MEMBERS AND MEMBER ORGANIZATIONS

SUBJECT: UPDATED LISTING OF THE DEPARTMENT OF TREASURY
"SPECIALLY DESIGNATED NATIONALS AND BLOCKED
PERSONS"

On September 24, 2001, President Bush issued an Executive Order targeting financial assets of terrorists. The Order added new names to the Department of the Treasury's Office of Foreign Assets Control's ("OFAC") list of "Specially Designated Nationals and Blocked Persons" ("SDN"). Please refer to Information Memo No. 01-26, dated September 24, 2001.

On October 1, 2001, OFAC issued a Bulletin providing additional descriptive information, including aliases, on the names included in the annex of the September Executive Order. This information is attached as Exhibit A. In addition, on October 12, 2001, OFAC issued a Bulletin adding the names of thirty-nine (39) Specially Designated Global Terrorists ("SDGTs") to its list of SDNs (see Exhibit B). The above information also can be accessed from the OFAC website listed below.

Members and member organizations should routinely monitor the OFAC website for updates and additional information. The OFAC website (<http://www.treas.gov/ofac>) also can be accessed from the NYSE website (<http://www.nyse.com/mfr>).

Please call William Jannace at 212-656-2744 if you have any questions concerning this memorandum.

Salvatore Pallante
Executive Vice President

Attachments